



# Specialist independent AIFMD depositary services for alternative investment funds

## Our services

INDOS Financial provide independent AIFMD depositary services to a broad range of alternative investment funds spanning open ended hedge funds to closed ended UK private equity and real estate funds.

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Following the introduction of the Alternative Investment Fund Managers Directive, EU (and in some cases non-EU) alternative investment fund managers managing or marketing alternative investment funds in Europe are required to comply with new depositary requirements.

INDOS Financial enables compliance with these new requirements. Our depositary duties include one or more of the following: Safe Keeping of Assets (both financial instruments held in custody and verification of non-custody assets), Cash Flow monitoring, and Oversight (including oversight of valuation of the fund and the fund's compliance with investment restrictions and leverage limits).

“INDOS Financial stand-out as specialists in independent oversight.”

“The INDOS team have consistently added value to our business.”

**INDOS**  
**FINANCIAL**  
AIFMD Depositary Services

# Our Depositary Duties

Our main depositary duties are to oversee:

- The net asset value of the fund and its shares are calculated in accordance with its valuation policy, applicable laws and fund documentation.
- Subscriptions and redemptions are carried out in accordance with applicable laws and fund documentation.
- Transactions involving fund assets are settled within the usual time limits.
- The fund complies with investment restrictions and AIFMD leverage limits.
- Daily cash flow monitoring to identify and investigate significant or unusual cash flows.
- Record keeping and verification of ownership of non- custody assets.

## Why INDOS Financial?

INDOS Financial specialises in depositary services for alternative investment funds. We were the first FCA authorised AIFMD Depositary in January 2014.

We have an experienced team, located in London and Ireland, with a deep background in alternative funds, fund administration, depositary and professional service businesses.

We are independent and a genuine alternative to other depositary businesses that generally only act, despite the potential conflicts of interest, where the fund administrator is an affiliate in their group. We perform genuine arms-length oversight.

We offer a flexible, proactive and transparent level of client service, through which we add value and build long term, successful client relationships.

We offer balanced contractual terms and competitive fees.

We work alongside existing fund administrators, prime brokers and custodians and seek to minimise the operational impact on clients.

We are transparent in terms of what we do, how we do it and the results we deliver. We report monthly to the manager and quarterly to the board of the fund.

We leverage technology to deliver a first class, scalable and efficient service. We are committed to providing SOC1/ ISAE3402 assurance reporting to clients in due course.

We are recognised thought-leaders on AIFMD and will be pleased to share our knowledge and experience with you.

**For client references visit**

[www.indosgroup.com/  
testimonials/](http://www.indosgroup.com/testimonials/)

“We have benefitted from the relationship beyond simply meeting regulatory requirements.”

“INDOS genuinely add an additional layer of control.”

### CONTACT FOR INFORMATION

To find out more about our services please contact

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