



Fully Integrated Software Platform for AIFMD Depository & Fund Oversight

A bespoke workflow management and fund oversight system that provides activity process control, validation and data management. DEPOcheck allows the Depository to execute their duties under AIFMD in an efficient, automated and focus driven manner evidencing full audit trail of work completed.



DEPOcheck Statistics

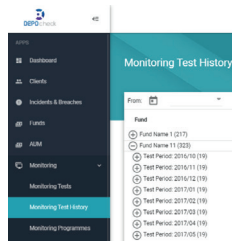
- ✓ Over 5 million cash flow transactions processed
- ✓ Over 8 million investments classified
- ✓ 28 Broker/Custodians & 18 Administrators mapped
- ✓ All file formats supported (csv, xls & pdf)
- ✓ Servicing 200+ funds, covering Private Equity, Real Estate, Debt, Hedge Fund and UK Investment Trusts

Key Features

- Workflow Management System
- Modular Design Allowing User Customisation
- Secure Cloud Hosted
- Bloomberg API Connectivity
- Automated Cash Flow Monitoring
- Automated Asset Record Keeping
- Position Reconciliation
- Security Pricing Verification
- Investment Restrictions Monitoring
- Incident/Breaches Tracker
- Automated Dashboard Snapshot & Audit Trail

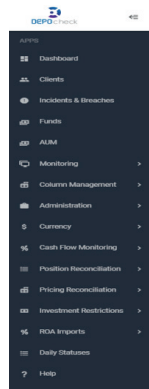
Workflow Management System

The ability to track progress for all monitoring tests applied to a fund, showing the breakdown of each valuation period in question (daily/monthly/quarterly etc.).



Modular Design Allowing User Customisation

Ability to choose the applications required to meet specific needs.

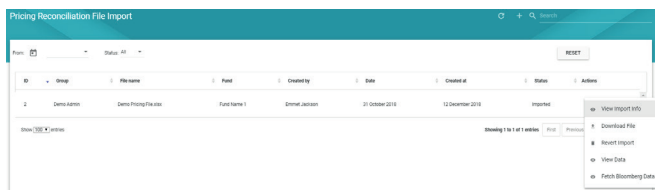


Secure Cloud Hosted

Secure hosting subject to appropriate controls and independent verification.

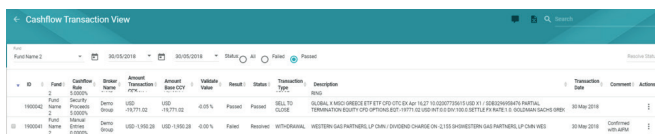
Bloomberg API Connectivity

API connectivity allowing the user to price all exchange traded securities (Mid/Bid/Last Traded).



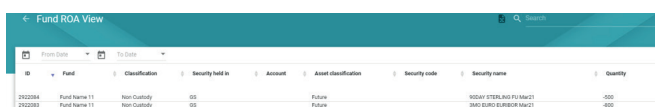
Automated Cash Flow Monitoring

Broker/Custodian transaction file upload which allows DEPOcheck to apply predetermined transaction tolerance limits (%/\$) against all activity identifying unusual or significant transactions.



Automated Asset Record Keeping

Administrator position file upload, automatic categorisation of assets as 'In Custody' or 'Other Assets' and ensuring the assets are held in the name of the fund or Manager on behalf of the fund.

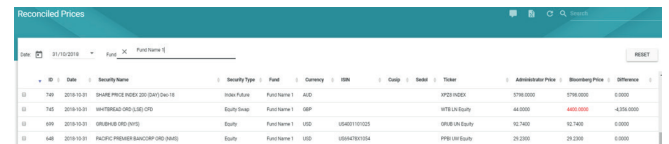


Position Reconciliation

Ability to execute a three-way position reconciliation between the Fund Administrator, Broker/Custodian and Fund Manager.

Security Pricing Verification

Exchange traded securities independently priced via Bloomberg API and reconciled to the Administrator's pricing report.



Investment Restrictions Monitoring

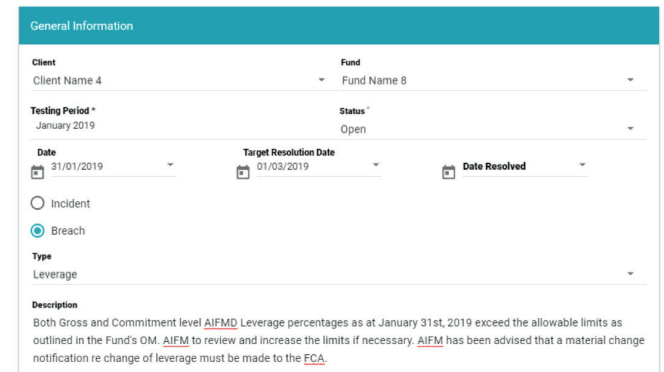
Applying the fund's investment restrictions against the portfolio, ensuring compliance.

The Company will not invest more than 15 per cent of the gross assets of the Company at the time of investment in any one security.

Max Gross Assets Between 0.00% and 15.00%
Amount: 14.52
Result: Passed

Incident/Breaches Tracker

The ability to track and monitor incident/breaches, demonstrating full audit history with the functionality to upload attachments as evidence.



Automated Dashboard Snapshot & Audit Trail

Oversight of workflow status (pending/completed tasks) including drilldown to granular level data supporting graphics.

