

# INDOSFINANCIAL

INDEPENDENT FUND OVERSIGHT

—  A JTC GROUP COMPANY —

## Independent Specialist Depository for Alternative Investment Funds

### INTRODUCTION

INDOS Financial, a JTC Group company, is the leading, independent depository service provider, offering full scope and depository-lite services to a broad range of alternative investment funds (AIFs), managed by both EU and non-EU alternative investment fund managers (AIFMs).

We provide services to over 75 clients, representing 145 funds with \$35bn+ of underlying assets, and hold a range of FCA regulatory permissions, enabling us to service:

- Hedge Funds
- Debt Funds
- Private Equity Funds
- Infrastructure Funds
- Real Estate Funds
- UK Investment Trusts

### SERVICES

Our AIFMD depository services include one or more of the following AIFMD requirements:

- Article 21(7) Cash flow monitoring
- Article 21(8)(a) Safe keeping of assets (custody assets)
- Article 21(8)(b) Record keeping and verification of non-custody assets
- Article 21(9) Oversight (including oversight of valuation of the AIF and the AIF's compliance with investment restrictions and leverage limits)

To learn more about our depository services please contact us on [depository@indosgroup.com](mailto:depository@indosgroup.com)

INDOS was the first Alternative Investment Fund Managers Directive (AIFMD) depositary to be authorised by the UK Financial Conduct Authority in January 2014, and the first non-bank depositary to be authorised as a full depositary for UK unauthorised funds in May 2017.

We are also able to provide depositary services to Luxembourg domiciled AIFs through JTC's Luxembourg office. JTC Luxembourg has been granted a depositary licence by Luxembourg's Ministry of Finance, asserting our capabilities to support fund managers with European operations. Find out more about JTC Luxembourg's depositary services at [JTCgroup.com](http://JTCgroup.com).

## WHY INDOS FINANCIAL?

- We have an experienced team located in the UK (London and Fareham) and Ireland (Enniscorthy) with a deep background in alternative funds, fund administration, depositary and professional service businesses
- We offer a flexible, proactive and transparent level of client service through which we add value and build long term, successful client relationships
- We work independently alongside existing fund administrators, prime brokers and custodians with minimal operational impact on our clients. We provide regular reporting to the manager and fund directors
- We leverage our internally developed DEPOcheck system to deliver a first class, scalable and efficient service
- We provide an annual type 2 SOC1 / ISAE 3402 controls assurance report to our clients
- We are recognised thought leaders on AIFMD and fund governance and will be pleased to share our knowledge and experience with you

We firmly believe that the depositary provider should act independently from the fund administrator, thereby always ensuring that the depositary functions in the best interests of the investor. Therefore, it is imperative that strict controls and measures are in place to deliver depositary services autonomously. This belief is growing within the fund management community. In the last three years alone, over 50% of INDOS' depositary assets have been transferred from other service providers. Since JTC's acquisition the INDOS brand has been retained to demonstrate the integrity and independence of our AIFMD depositary services and we will continue to provide independent depositary services where third-party administrators have been appointed or administration is conducted in-house by the AIFM.

## WHAT OUR CLIENTS SAY

"The quality of INDOS' reporting and work was a huge improvement. The work is performed to a really high standard with a more hands-on service."

"INDOS genuinely add an additional layer of control."

"We have found the INDOS team to be professional, working seamlessly with our administrator and demonstrating how an independent depositary can add value to the fund's governance structure."

"This is a win-win for our investors."

## YOUR INDOS FINANCIAL CONTACTS

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More information can be found at: [www.indosgroup.com/services](http://www.indosgroup.com/services)

Information on JTC Group, including regulatory notices, can be found at: [JTCGroup.com](http://JTCGroup.com)